

PTO/SB/17 (10-03)
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1110

Complete if Known

Application Number 09/29,985
Filing Date 08/14/2001
First Named Inventor James K. Mainquist
Examiner Name SODERQUIST, ARLEN
Art Unit 3858
Attorney Docket No. P1013US10

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit
Account
Number

60-1985

Deposit
Account
Name

Genomics Institute of the Novartis Research
Foundation

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1001	770	Utility filing fee	
		1002	340	Design filing fee	
		1003	530	Plant filing fee	
		1004	770	Reissue filing fee	
		1005	160	Provisional filing fee	

SUBTOTAL (1)

(\$ 770)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	0		0
Multiple Dependent			0

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1202	18	Claims in excess of 20	
		1203	88	Independent claims in excess of 3	
		1204	88	Multiple dependent claims, if not paid	
		1205	260	Reissue independent claims over original patent	
		1206	18	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$ 0)

*or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1061	130	Surcharge - late filing fee or oath	
		1062	80	Surcharge - late provisional filing fee or cover sheet	
		1063	130	Non-English specification	
		1812	2,520	For filing a request for reexamination	
		1804	820*	Requesting publication of SR prior to Examiner action	
		1805	1,840*	Requesting publication of SR after Examiner action	
		1251	110	Extension for reply within first month	
		1262	420	Extension for reply within second month	
		1253	950	Extension for reply within third month	950
		1254	1,480	Extension for reply within fourth month	
		1255	2,010	Extension for reply within fifth month	
		1401	330	Notice of Appeal	
		1402	330	Filing a brief in support of an appeal	
		1403	290	Request for oral hearing	
		1451	1,510	Petition to institute a public use proceeding	
		1452	110	Petition to revive - unavoidable	
		1453	1,330	Petition to revive - unintentional	
		1501	1,330	Utility issue fee (or reissue)	
		1502	480	Design issue fee	
		1503	640	Plant issue fee	
		1460	130	Petitions to the Commissioner	
		1807	50	Processing fee under 37 CFR 1.17 (c)	
		1808	180	Submission of Information Disclosure Sheet	
		8021	40	Recording each patent assignment per property (limits number of properties)	
		1809	770	Filing a submission after final rejection (37 CFR § 1.129(a))	
		1810	770	For each additional invention to be examined (37 CFR § 1.129(b))	
		1801	770	Request for Continued Examination (RCE)	
		1802	900	Request for expedited examination of a design application	

Other fee (specify) Additional claim fee: 2 additional independent claims

150

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 1110)

SUBMITTED BY

Name (Print/Type)	Timothy L. Smith, Ph.D.	Registration No. (Attorney/Agent)	35,367	Telephone	858-812-1547
Signature				Date	September 9, 2004

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